

1 Trip and Parking Generation Rates for 2 Different Housing Types: Effects of 3 Compact Development

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28 **ABSTRACT**

29 Guidelines for trip and parking generation in the United States come mainly from the Institute of
30 Transportation Engineers (ITE). However, their trip and parking manuals focus on suburban
31 locations with limited transit and pedestrian access. This study aims to determine how many
32 fewer vehicle trips are generated, and how much less parking demand is generated, by different
33 housing types (single-family attached, single-family detached, and apartment and condo) in
34 different settings, from low density suburban environments to compact, mixed-use urban
35 environments.

36 Using household travel survey data from 21 diverse regions of the United States, we estimate
37 a multilevel negative binomial model of vehicle trip generation and a multilevel Poisson model
38 of vehicle ownership, vehicle trip generation and vehicle ownership being logically modeled as
39 count variables. The models have the expected signs on their coefficients and have respectable
40 explanatory power. Vehicle trip generation and vehicle ownership (and hence parking demand)
41 decrease with the compactness of neighborhood development, measured with a principal
42 component that depends on activity density, land use diversity, intersection density, transit stop
43 density, and employment accessibility (after controlling for sociodemographic variables). The
44 models capture the phenomena of “trip degeneration” and “car shedding” as development
45 patterns become more compact.

46 Reducing the number of required parking spaces, and vehicle trips for which mitigation is
47 required, creates the potential for significant savings when developing urban projects. Guidelines
48 are provided for using study results in transportation planning.

49 **Keywords:** trip generation, parking generation, car shedding, compact development, multilevel
50 modeling

51 INTRODUCTION

52 Vehicle use and ownership are of interest from the standpoints of energy, environment and
53 transportation. Over half of the world's oil and about 30% of total commercial world energy are
54 consumed by the transport sector. In 2013, about 31% of total U.S. CO₂ emissions and 26% of
55 total U.S. greenhouse gas emissions were generated by transportation (1). Vehicle trip generation
56 and vehicle ownership models are used by policy makers to identify factors that affect vehicle
57 miles traveled (VMT), and therefore address problems related to energy consumption, air
58 pollution, and traffic congestion (2, 3).

59 Guidelines for trip and parking generation in the United States come mainly from the
60 Institute of Transportation Engineers (ITE). The ITE *Trip Generation Manual* and *Parking*
61 *Generation* manual are considered “bibles” in transportation planning. However, these manuals
62 focus on suburban locations with limited transit and pedestrian access. This study aims to
63 determine how many fewer vehicle trips are generated, and how much less parking demand is
64 generated, by different housing types in different settings, from low density suburban
65 environments to compact, mixed-use urban environments.

66 It does so with the largest sample of travel and vehicle ownership data ever collected outside
67 the National Household Travel Survey (NHTS) of 2009. And unlike NHTS, we have precise
68 locational data for all households in our sample. Literally hundreds of studies have used
69 household travel data to model travel outcomes and vehicle ownership in terms of built
70 environmental data. So why one more study? The problem with the existing literature is simple.
71 It lacks external validity. The use of data for single regions, specification of different models in
72 each study, and use of different metrics to represent the built environment, precludes the use of
73 models for general transportation planning purposes. By contrast, this study pools data from 21
74 diverse regions of the U.S. and uses consistently defined metrics to estimate best-fit vehicle trip
75 generation and vehicle ownership models of three different types of housing (single-family
76 attached, single-family detached, and apartment and condo).

77 LITERATURE REVIEW

78 The Built Environment

79 In travel research, influences of the built environment on travel have often been named with
80 words beginning with D – density, diversity, design, destination accessibility, and distance to
81 transit (4). While not part of the environment, demographics are the sixth D, controlled as
82 confounding influences in travel studies.

83 Many studies provide economic and behavioral explanations of why built environments
84 might be expected to influence travel choices. Basically, the first five Ds affect the accessibility
85 of trip productions to trip attractions, and hence the generalized cost of travel by different modes
86 to and from different locations. This, via consumer choice theory of travel demand (5), affects
87 the utility of different travel choices. For example, destinations that are closer as a result of
88 higher development density or greater land use diversity may be easier to walk to than drive to.
89 As the D values increase (except distance to transit, with an inverse relationship), the generalized
90 cost of travel by alternative modes decreases, relative utility increases, and mode shifts occur.

91 Vehicle Trip Generation and Degeneration

92 The ITE *Trip Generation Manual* itself states that its “[d]ata were primarily collected at
93 suburban locations having little or no transit service, nearby pedestrian amenities, or travel

94 demand management (TDM) programs” (ITE, 2012: page 1). As a result, ITE methods
95 overestimate vehicle trips generated at urban sites. A sample of 17 residential transit oriented
96 developments (TODs) averaged 44% fewer daily vehicle-trips than estimated by ITE (7).
97 Another study found actual peak-hour trip rates to be between 26% and 50% lower than ITE
98 rates for mid-rise apartments, general office buildings, and quality restaurants at urban infill sites
99 (8). At thirty smart growth development sites in California, actual vehicle trip data showed 56-58%
100 fewer vehicle trips than the ITE model predicted (9). In four out of five TOD cases, Ewing et al.
101 (2017) found vehicle trip generation rates are about half or less of what is predicted in the ITE
102 manual.

103 The ITE manual admits this limitation by saying: “At specific sites, the user may wish to
104 modify trip-generation rates presented in this document to reflect the presence of public
105 transportation service, ridesharing, or other TDM measures; enhanced pedestrian and bicycle
106 trip-making opportunities; or other special characteristics of the site or surrounding area” (ITE,
107 2012: page 1). This kind of modification is seldom done in practice.

108 There are several trip generation methods developed as alternatives to the standard ITE
109 method, primarily focusing on mixed-use developments. ITE (2014) provides trip generation for
110 mixed-used developments using the procedure in NCHRP Report 684 (12), which is an
111 enhancement of the current ITE multiuse method based on data collected at six sites and tested at
112 three sites. However, it does not account for land use and transportation contextual factors.
113 Initially developed for the United States Environmental Protection Agency (EPA) and later
114 adapted by San Diego Association of Governments (SANDAG), the EPA Mixed-Use method is
115 based on household travel survey data from large multi-use sites in six (updated to 13)
116 metropolitan areas in the U.S. and includes various D variables to estimate external vehicle trips
117 (13, 14). However, most of these multi-use methods could not be applied to the same type of
118 behavior at single-use urban developments (15).

119 There are currently a few adjustments available that account for vehicle trip generation at
120 single-use developments in urban areas. Two studies (16, 17) developed adjustments to
121 supplement the current ITE method for specific land use types based on site-level data
122 collections. In both studies, adjustments of trip generation rate are estimated as a function of the
123 built environment. However, both of the studies are limited to small sample sizes in a single
124 metropolitan area or a state and a selected few land-use types.

125 There are rich studies on the built environment and travel in the literature. A meta-analysis in
126 2010 found more than 200 individual studies of the built environment and travel (4). A more
127 recent meta-regression analysis expanded this sample considerably (18). Generalizing across this
128 vast literature, trip generation is a function of socioeconomic characteristics of travelers and the
129 built environment. Compact developments that concentrate residents, workers, and retail shops in
130 close proximity to one another can “de-generate” vehicle trips.

131 **Vehicle Ownership, Car Shedding, and Associated Parking Generation**

132 Vehicle ownership and associated parking generation are one and the same. A household with
133 two vehicles will generate peak demand for two parking spaces. The ITE *Parking Generation*
134 manual notes that study sites upon which the manual is based are “primarily isolated, suburban
135 sites” (ITE, 2010). Studies show that vehicle ownership is lower in transit-served areas than
136 those that are not transit-served (20, 21). By comparing parking-generation rates for housing
137 projects near rail stops with parking supplies and with ITE’s parking-generation rates, Cervero et
138 al. (2010) found there is an oversupply of parking near transit, sometimes by as much as 25-30

139 percent. Oversupply of parking spaces may result in an increase in vehicle ownership, which is
140 supported by the strong positive correlation between parking supply and vehicle ownership and
141 auto use (Chatman, 2013; Guo, 2013; Weinberger, 2012).

142 Vehicle ownership is generally treated as a function of households' sociodemographic
143 characteristics. Some studies use income or income per capita to forecast national or global
144 vehicle ownership (2). Some other sociodemographic characteristics have been reported as good
145 predictors of vehicle ownership, like household size, number of children and workers, and even
146 immigration status (27).

147 However, there are many studies that have found additional relationships between vehicle
148 ownership and built environmental variables. Households that live in dense, mixed-use, and
149 transit served areas tend to own fewer automobiles, a phenomenon called car shedding; at the
150 same time, they make more walk, bike and transit trips (28). Studies have found that the built
151 environment affects vehicle ownership after controlling for the sociodemographic characteristics
152 of households. All of the Ds have been related to vehicle ownership in one study or another (23,
153 24, 29, 30).

154 Additionally, some other variables have also been reported to be related to vehicle ownership,
155 like parking availability (Chatman, 2013), housing or neighborhood type (23, 30), travel attitudes
156 (29), and urban area size (32).

157 **METHODOLOGY**

158 This study addresses the external validity issues with existing models by pooling household
159 travel and built environment data from 21 diverse U.S. regions and using a large number of
160 consistently defined and measured built environmental variables to model vehicle ownership and
161 use. In this study, improvements to standard vehicle trip generation and vehicle ownership
162 models include:

- 163 • Accounting for the impacts of all D variables while controlling for sociodemographic
164 characteristics;
- 165 • Using road network buffers around households' location to capture the built environment,
166 instead of predefined and aggregated geographic units, like traffic analysis zones (TAZs),
167 zip codes, census block groups;
- 168 • Using a count regression model (negative binomial regression or Poisson regression);
- 169 • Using multi-level modeling (MLM) to account for dependence of households in the same
170 region on shared regional characteristics.

171 **Household Travel Survey Data**

172 The main criterion for inclusion of regions in this study was data availability. Regions had to
173 offer regional household travel surveys with XY coordinates, so we could geocode the precise
174 locations of residences and capture built environment for households more accurately than using
175 predefined and aggregated geographic units. It is not easy to assemble databases that meet this
176 criterion, as confidentiality concerns mean that metropolitan planning organizations are often
177 unwilling to share XY travel data.

178 At present, we have consistent data sets for 21 regions. They are Atlanta, GA, 2011, Austin,
179 TX, 2005, Boston, MA, 2011, Denver, CO, 2010, Eugene, OR, 2011, Greensboro, NC, 2009,
180 Houston, TX, 2008, Indianapolis, IN, 2009, Kansas City, MO, 2004, Miami-Dade, FL, 2009,
181 Minneapolis-St. Paul, 2010, West Palm Beach, FL, 2009, Phoenix, AZ, 2008, Portland, OR,
182 2011, Provo-Orem, UT, 2012, Rochester, NY, 2011, Salem, OR, 2010, Salt Lake City, UT, 2012,

183 San Antonio, TX, 2007, Seattle, WA, 2006, Winston-Salem, NC, 2009. The resulting pooled
184 data set consists of 76,596 trips by 766,995 households. The regions are diverse as Boston and
185 Portland at one end of the urban form continuum and Houston and Atlanta at the other.

186 To our knowledge, this is the largest sample of household travel records ever assembled for
187 such a study outside the National Household Travel Survey of 2009 (NHTS). And relative to
188 NHTS, our database provides much larger samples for individual regions and permits the
189 calculation of a wide array of built environmental variables based on the precise location of
190 households. NHTS provides geocodes (identifies households) only at the census tract level.

191 **Built Environmental Data**

192 The regions included in our household travel survey sample were, in addition, able to supply GIS
193 data layers for streets and transit stops, population and employment for traffic analysis zones,
194 and travel times between zones by different modes for the same years as the household travel
195 surveys.

196 All the Ds are represented in our model based on these data:

- 197 • Parcel level land use data with detailed land use classifications; from these we can
198 compute detailed measures of land use mix.
- 199 • A GIS layer for street networks and intersections; from these we can compute street
200 connectivity measures.
- 201 • A GIS layer for transit stops; from these data we can compute transit stop densities.
- 202 • Population and employment at the block or block group level; from these we can
203 compute activity density.
- 204 • Travel times for auto and transit travel from TAZ to TAZ (so-called travel time skims);
205 from these, and TAZ employment data, we can compute regional employment
206 accessibility measures for auto and transit.

207 Point, line and polygon data from the different sources were joined with road network buffers
208 of household locations to obtain raw data, such as the number of intersections within buffers.
209 These were then used to compute refined built environmental measures such as intersection
210 density, which is simply the number of intersections divided by land area within the buffer.

211 **Variables**

212 Using these datasets, the built environment around a household's home address was measured
213 for buffers of different widths ($\frac{1}{4}$, $\frac{1}{2}$, and one mile street network distances). Ultimately, one-
214 mile buffers were chosen to define the relevant built environment for purposes of vehicle trip and
215 parking generation. In fact, according to the 2009 NHTS, the average walk trip length in the
216 United States varies by trip purpose from 0.52 miles for shopping trips to 0.88 miles for work
217 trips. The overall average is 0.70 miles, which implies a relevant environmental scale of $\frac{1}{2}$ to one
218 mile. Also, from NHTS, bike trips for most purposes average more than one mile, which implies
219 a relevant environmental scale of at least one mile.

220 The dependent and independent variables used in this study are defined in Table 1. Sample
221 sizes and descriptive statistics are also provided. The variables in this study cover all of the Ds,
222 from density to demographics. With different measures, a total of 13 independent variables are
223 available to explain household vehicle ownership and use. All variables are consistently defined
224 from region to region. We categorized the types of the house into three groups: single-family
225 detached, single-family attached, and apartment and condo.

226 **TABLE 1 Variables in This Study**

<i>Variable</i>	<i>N</i>	<i>Mean</i>	<i>S.D.</i>
<i>Dependent variables –household</i>			
number of home-based vehicle trips for the household	76,596	4.03	2.87
number of vehicle owned by the household	76,596	1.93	1.03
<i>Independent variables – sociodemographic characteristics</i>			
household size	76,596	2.5	1.37
number of workers in the household	76,596	1.25	0.88
real household income (in 1000s of 2012 dollars)	76,596	77.4	49.85
<i>Independent variables – built environment within one mile buffer</i>			
activity density within one mile buffer (population + employment per square mile, in 1000s)	76,596	6.74	9.73
job-population balance within one mile buffer (Ewing et al., 2014)	76,596	0.63	0.25
land use entropy within one mile buffer (Ewing et al., 2014)	76,596	0.46	0.26
intersection density within one mile buffer	76,596	112.59	79.53
the percentage of 4-way intersections one mile buffer	76,596	26.1	18.47
transit stop density within one mile buffer	76,596	20.73	26.29
employment accessibility, percentage of regional employment within 10 min by car	76,596	6.91	10.22
<i>Independent variables – region</i>			
regional compactness index developed by Ewing and Hamidi (2014); higher values of the index correspond to more compact regions, lower values to more sprawling regions	21	95.68	26.71
regional population within the region (in 1000s)	21	2217	1663
regional average gasoline prices for 2010 at each region	21	2.89	0.12
<i>Built environment variable loadings on the neighborhood compactness index</i>			
<i>Variable</i>	<i>Factor Loadings</i>	<i>Factor Score Coefficients</i>	
activity density	0.842	0.32	
land use entropy	0.571	0.217	
intersection density	0.813	0.309	
transit stop density	0.83	0.316	
employment accessibility	0.493	0.187	
Eigenvalue: 2.629			
Explained variance: 52.59%			

227 **Principal Component Analysis**

228 Rather than relying on multiple, correlated variables to represent the built environment around
 229 households, we chose to reduce many correlated variables to one factor, called a neighborhood
 230 compactness index, representing the built environment around households. This factor was

231 derived with principal component analysis (PCA), an analytical technique that takes a larger
232 number of correlated variables and extracts a smaller number of factors that embody the common
233 variance in the original data set.

234 The greater the correlation between an original variable and a principal component, the
235 greater the loading and the more weight the original variable is given in the overall principal
236 component score. The more highly correlated the original variables, the more variance is
237 captured by a single principal component. The principal component selected to represent the
238 built environment was the first one extracted, the one capturing the largest share of common
239 variance among the component variables, and the one upon which the component variables
240 loaded most heavily (Table 1). It is the only principal component with an eigenvalue greater than
241 1, a common cutoff point above which principal components are retained. This one principal
242 component accounts for 52.59% of the variance in the dataset. All component variables load on
243 this principal component with intuitively reasonable signs. Given the loadings, this principal
244 component appears to represent the accessibility of residences to trip attractions outside the
245 home.

246 We transformed the first principal component, which had a mean of 0 and standard deviation
247 of 1, to a scale with a mean of 100 and standard deviation of 25, which we refer to as a
248 neighborhood compactness index. To compute descriptive statistics and compare vehicle trip
249 and parking generation rates with ITE, we categorized neighborhood settings into three groups
250 based on this factor: sprawling neighborhoods (with index scores ≤ 90 , 36.5% sample – 27,583
251 households), average neighborhoods (with scores between 90 and 110, 35.7% sample – 26,999
252 households), and compact neighborhoods (with scores ≥ 110 , 27.8% sample - 21,033
253 households). Roughly equal numbers of households in our data set fall into each category.

254 RESULTS

255 Descriptive Statistics

256 *Vehicle Trip Generation and Degeneration*

257 To compare the residential trip generation in household travel surveys to ITE trip generation
258 rates, we limited the trips and households using the following criteria:

- 259 • only included driver-based vehicle trips; trips made by passengers in a vehicle were not
260 counted;
- 261 • only included home-based vehicle trips; trips made between non-home locations were not
262 counted;
- 263 • only included households where every member of the household provided a travel diary;
264 many households provide incomplete trip records;
- 265 • only included households where the last trip for each person was home-based; many
266 respondents forget to report the last trip of the day, the one that takes them home.

267 Table 2 provides vehicle trip rates from our 21-region database, for three different housing
268 types and three different levels of neighborhood compactness. As expected, average trip rates per
269 household are higher for single-family detached than single-family attached households, and for
270 single-family attached than apartments and condos (multifamily units). Also as expected,
271 average vehicle trip rates per household drop off with rising neighborhood compactness.

272 Two interesting patterns emerge. First, when vehicle trip rates are presented on a per person
273 basis instead of a per household basis, differences among housing types and compactness levels
274 partially disappear. That is to say, household size differences account for some (but not all) of

275 the differences in vehicle trip rates. Second, the drop off in vehicle trip rates with compactness is
 276 far more pronounced between average and compact neighborhoods, than between sprawling and
 277 average neighborhoods. Comparing the extremes, single-family households in average
 278 neighborhoods generate 2.15 vehicle trips per person per day, while multifamily households in
 279 compact neighborhoods generate only 1.46 vehicle trips per person per day.

280 Table 2 also provides comparable (as nearly comparable as possible) vehicle trip generation
 281 rates from ITE and NHTS. Our rates are much lower than ITE's, even with the bulleted data
 282 limitations indicated above. Again, part of the difference has to do with household size. The
 283 differences between ITE and self-reported rates are not as stark on a per person basis. But even
 284 on a person basis, our rates and NHTS rates are lower than ITE's. This begs the question of why
 285 self-reported vehicle trip rates would be lower than automated driveway counts from individual
 286 housing developments. Self-reports could be biased downward, since people may forget about
 287 certain vehicle trips after the fact or may simply tire of inputting trip data. Also, our trip rates
 288 exclude package delivery trips to households in a development, visitor trips by friends and
 289 family, lawn and household maintenance trips, and other trips that would not show up in a
 290 household travel diary survey.

291 The large disparity in trip generation rates on a per household basis suggests that any
 292 adjustments to ITE trip generation rates to account for the D variables should be applied only to
 293 the household's own home-based trip rates, not to the difference between our rates and ITE's.

294 **TABLE 2 Average Vehicle Trip Generation Rates by Housing Type from 21 Region**
 295 **Database, ITE Trip Generation Manual, and NHTS**

<i>21 regional database</i>				
	Neighborhood Compactness Index	Sample Size	Vehicle trips (per unit)	Vehicle trips (per person)
Single-family Detached	1	17,196	5.05	2.09
	2	14,702	4.97	2.15
	3	9,174	4.17	2.03
	Average	41,621	4.82	2.10
Single-family Attached	1	1,252	3.64	2.19
	2	1,808	3.38	2.14
	3	2,074	2.81	1.60
	Average	5,170	3.21	1.93
Apartment and Condo	1	932	3.10	1.98
	2	2,384	2.80	1.88
	3	3,846	2.06	1.46
	Average	7,220	2.44	1.67
<i>ITE Trip Generation Manual (weekday)</i>				
			Vehicle trips (per unit)	Vehicle trips (per person)
Single-Family Detached (210)			9.52	2.55
Condominium/Townhouse (230)			5.81	2.49

Apartment (220)		6.65	3.31
2009 National Household Travel Survey (NHTS)			
	Sample size	Vehicle trips (per unit)	Vehicle trips (per person)
Single-family Detached	64,855	4.45	2.23
Single-family Attached	13,994	2.87	1.90
Apartment and Condo	4,089	3.27	1.97

296 *Vehicle Ownership and Car Shedding*

297 Parking generation is more complicated than vehicle trip generation. There is both supply of and
 298 demand for parking. There is off-street and on-street parking, only the former of which is
 299 captured by ITE. And, of course, there are ITE guidelines and actual parking numbers for
 300 surveyed households.

301 Table 3 presents average vehicle ownership per household as a function of housing type and
 302 compactness level. As expected, households in single-family detached housing own more cars
 303 than those in single-family attached housing, and those in single-family attached housing own
 304 more than those in apartments and condos (multifamily housing). Also, as expected, households
 305 in sprawling neighborhoods own more cars than those in average neighborhoods, while those in
 306 average neighborhoods own more cars than those in compact neighborhoods.

307 Again, two interesting patterns emerge. First, when vehicle ownership rates are presented on
 308 a per person basis instead of a per household basis, differences among housing types and
 309 compactness levels partially disappear. That is to say, household size differences account for
 310 some (but not all) of the differences in vehicle ownership rates. Second, the drop off in vehicle
 311 ownership rates with compactness is approximately the same between average and compact
 312 neighborhoods, as it is between sprawling and average neighborhoods. Comparing the extremes,
 313 single-family households in sprawling neighborhoods own 0.96 vehicles per person, while
 314 multifamily households in compact neighborhoods own only 0.66 vehicles per person.

315 Table 3 also provides comparable (as nearly comparable as possible) vehicle ownership rates
 316 from ITE and NHTS. Our rates are lower than ITE's. Again, part of the difference has to do with
 317 household size. The disparity in vehicle ownership rates on a per household basis suggests that
 318 adjustments to ITE vehicle ownership rates to account for the D variables are necessary.

319 **TABLE 3 Average Vehicle Ownership (and Associated Parking) by Housing Type from 21**
 320 **Region Database, ITE Parking Generation, and NHTS)**

<i>21 regional database</i>				
	Neighborhood Compactness Index	Sample Size	Vehicle Ownership (per unit)	Vehicle Ownership (per person)
Single-family Detached	1	24,278	2.34	0.96
	2	20,973	2.11	0.91
	3	12,848	1.81	0.87
	Average	58,922	2.14	0.92
Single-family Attached	1	1,561	1.64	0.91
	2	2,328	1.42	0.84

	3	2,723	1.26	0.67
	Average	6,663	1.41	0.79
Apartment and Condo	1	1,183	1.36	0.83
	2	3,129	1.17	0.76
	3	4,885	0.96	0.66
	Average	9,277	1.09	0.72

ITE Parking Generation (weekday)

	Setting	Peak Demand (vehicles per unit)
Single-Family Detached (210)	—	1.83
Townhouse/Condominium (230)	Suburban	1.38
Low/Mid-Rise Apartment (221)	Suburban	1.23
	Urban	1.20
High-Rise Apartment (222): 5 or more floors	Central City, not downtown	1.37

2009 National Household Travel Survey (NHTS)

	Sample size	Vehicle Ownership (per unit)	Vehicle Ownership (per person)
Single-family Detached	117,353	2.22	1.02
Single-family Attached	24,275	1.30	0.74
Apartment and Condo	8,056	1.82	0.95

321 **Inferential Statistics**

322 To increase statistical power and external validity, we pooled data from 21 diverse regions. The
 323 data and model structure are hierarchical, with households “nested” within regions. The best
 324 statistical approach for nested data is multilevel modeling (MLM), also called hierarchical
 325 modeling (HLM). MLM accounts for spatial dependence among observations (Raudenbush and
 326 Bryk, 2002). Households living in a region such as Boston are likely to have very different
 327 vehicle trip generation or vehicle ownership characteristics compared to a region such as
 328 Houston, regardless of household and neighborhood characteristics. The essence of MLM is to
 329 isolate the variance associated with each data level. MLM partitions variance between the
 330 household level (Level 1) and the regional level (Level 2) and then seeks to explain the variance
 331 at each level in terms of level-specific variables.

332 The number of vehicle trips generated by a household and the number of vehicles owned by a
 333 household are count variables, which can only assume the values of zero, one, two, or some
 334 larger positive integer. Although vehicle ownership has been widely modeled as a discrete choice
 335 in the literature (34), this may be not the best approach. We think count regressions may better fit
 336 the data. Two regression methods are used to model count variables – Poisson and negative
 337 binomial regression. They differ in their assumptions about the distribution of the dependent
 338 variable. Poisson regression is appropriate if the dependent variable is equi-dispersed, while
 339 negative binomial regression is appropriate if the dependent variable is overdispersed. Popular
 340 indicators of overdispersion are the Pearson and χ^2 statistics divided by the degrees of freedom,

341 so-called dispersion statistics. If these statistics are greater than 1.0, a model is said to be
342 overdispersed (Hilbe, 2011: pages 88 and 142). By these measures, we have overdispersion of
343 vehicle trips and near equi-dispersion of vehicle ownership rates, so the negative binomial model
344 is appropriate for the former and the Poisson model is appropriate for the later. Models were
345 estimated with HLM 7, Hierarchical Linear and Nonlinear Modeling software. Only the
346 intercepts were allowed to vary randomly across level 2. All the regression coefficients at level 2
347 were treated as fixed and are called random intercept models (Raudenbush and Bryk, 2002).

348 *Vehicle Trip Generation and Degeneration*

349 The best-fit multilevel negative binomial regression models for vehicle trip generation by
350 different housing types are shown in Table 4. For all three types of housing, the number of
351 vehicle trips generated by a household increases with household size, number of working
352 members, and household income. Bigger households with more workers and higher incomes tend
353 to generate more vehicle trips.

354 We see evidence of trip degeneration as well. Controlling for socioeconomic variables,
355 vehicle trip generation declines with neighborhood compactness. This relationship suggests that
356 areas with high population and employment density, diverse land uses, good street connections,
357 great transit service, and high accessibility allow direct substitution of transit, walk, and bike
358 travel for automobile travel. At the regional level, for single-family detached and attached
359 housing, vehicle trips decline with regional population. Larger regions typically offer much
360 better transit service, which leads to substitution of transit trips for automobile trips.

361 The pseudo- R^2 s of the models range from 0.22 to 0.33. We have shown the pseudo- R^2 largely
362 because urban planners are used to dealing with R^2 s and may want this information. Pseudo- R^2 s
363 in multilevel regressions are not equivalent to R^2 s in ordinary least squares regression, and
364 should not be interpreted the same way. The pseudo- R^2 bears some resemblance to the statistic
365 used to test the hypothesis that all coefficients in the model are zero, but there is no construction
366 of which it is a measure of how well the model predicts the outcome variable in the way that R^2
367 does in conventional regression analysis.

368 *Vehicle Ownership and Car Shedding*

369 The best-fit multilevel Poisson regression models for vehicle ownership of different housing
370 types are also shown in Table 4. For all three types of housing, the number of vehicles owned by
371 a household increases with household size, number of working members, and household income.

372 We see evidence of car shedding as well. Controlling for socioeconomic variables, vehicle
373 ownership declines with neighborhood compactness. This relationship suggests that areas with
374 high population and employment density, diverse land uses, good street connections, great transit
375 service, and high accessibility allow direct substitution of transit, walk, and bike travel for
376 automobile travel, and thus car shedding. At the regional level, for apartments and condos,
377 vehicle ownership declines with regional compactness index and population. Multifamily
378 households living in compact and large regions own fewer vehicles than households living in
379 sprawling and small regions. Again, the logical explanation is the ability to substitute transit trips
380 for automobile trips in large regions with extensive transit service. The pseudo- R^2 s of the models
381 are 0.67 or higher. See the above discussion of pseudo- R^2 s in multi-level models.

382 **TABLE 4 Modeling Results of Household Vehicle Trips and Ownership** **Multilevel negative binomial regression for household vehicle trip generation**

	Single-family Detached	Single-family Attached	Apartment and Condo
intercept	1.089***	1.225***	1.098***
regional population	-0.00002***	-0.00003**	—
household size	0.167***	0.206***	0.187***
workers	0.117***	0.146***	0.209***
household income	0.002***	0.002***	0.003***
neighborhood compactness index	-0.002***	-0.006***	-0.007***
pesudo- R^2	0.33	0.28	0.22

"—" means this variable is not statistically significant.

*** p -value < 0.01, ** p -value < 0.05, * p -value < 0.1

Multilevel Poisson regression for household vehicle ownership

	Single-family Detached	Single-family Attached	Apartment and Condo
intercept	0.718***	0.312***	0.385***
regional compactness index	—	—	-0.0026***
regional population	—	—	-0.00003**
household size	0.057***	0.099***	0.107***
workers	0.148***	0.190***	0.208***
household income	0.002***	0.003***	0.005***
neighborhood compactness index	-0.005***	-0.006***	-0.005***
pesudo- R^2	0.87	0.83	0.67

"—" means this variable is not statistically significant.

*** p -value < 0.01, ** p -value < 0.05, * p -value < 0.1

383 DISCUSSION AND CONCLUSION

384 Smart growth, as an alternative to auto-oriented sprawling development, encourages mixed
 385 residential and nonresidential land uses in walkable communities with transit options and nearby
 386 essential destinations. Increasingly, planners, scholars, innovative developers, and local officials
 387 across the world promote smart growth as an antidote to many of the ills associated with urban
 388 sprawl. It is vitally important to accurately estimate the traffic impacts of a smart-growth
 389 development if communities are to reward such projects through lower exactions and
 390 development fees or expedited project approvals, and to right-size parking requirements.
 391 However, lacking a reliable methodology for adjusting trip and parking generation rates,
 392 communities relying on ITE guidelines are led to understate the traffic benefits of mixed-use
 393 development proposals and therefore discourage otherwise desirable developments.

394 This study explores how many fewer vehicle trips are generated, and how much less parking
 395 demand is generated, by different housing types in different settings, from low density suburban
 396 environments to compact, mixed-use urban environments. The results show that vehicle trip
 397 generation and vehicle ownership (and hence parking demand) decrease with the compactness of
 398 neighborhoods after controlling for sociodemographic factors. In other words, the posited
 399 phenomena of “trip degeneration” and “car shedding” are borne out.

400 Applications to Planning

401 How might the statistics in Tables 2 through 4 be used to plan for new developments? For the
402 purpose of preliminary analysis or when the built environment and sociodemographic data are
403 not available, a planner could estimate vehicle trip and parking generation from the descriptive
404 statistics (Tables 2 and 3) showing average numbers aggregated from multiple regional
405 household travel surveys. For three housing types – single-family detached, single-family
406 attached, and apartment and condo – and three levels of compactness in built environment setting,
407 planners could apply the average vehicle trips and average vehicle ownership (per unit or per
408 person) to a specific development site.

409 On the other hand, with the complete data sets listed in Table 1, planners are able to predict
410 more accurate and reliable values of vehicle trip and parking generation. The process of
411 calculating these two values is laid out below.

412 First, planners need to collect all required built environment and sociodemographic data.
413 Second, built environment variables must be converted to a compactness index for a
414 neighborhood (1-mile buffer) around a given site. 1) Each D variable must be standardized using
415 the means and standard deviations in Table 1. A standard (Z) score is calculated as (original
416 value - mean) / (standard deviation). 2) The standard score of each variable is then multiplied by
417 the factor score coefficient of that variable from Table 2 and all five multiplied scores are
418 summed. 3) Lastly, the summed score can be converted into a final neighborhood compactness
419 index (with a mean of 100 and a standard deviation of 25) by multiplying the summed score by
420 25 and adding 100 to the result. Third, all values of independent variables are entered into the
421 regression equations in order to estimate vehicle trip generation or parking generation (Table 4).
422 Note that the predicted values in negative binomial and Poisson models are the logs of the
423 expected values of the outcome variables. Thus, to derive estimates of vehicle trip generation and
424 vehicle ownership, one needs to exponentiate the values from the regression equations, that is,
425 take the anti-logs of the values.

426 For example, in a region with a population of 2 million, we assume a given single-attached
427 development has an average household size of 2.0 persons, has labor force participation of 1.0
428 worker per household, has a median household income of \$60,000, and has a neighborhood
429 compactness index either 75 or 125 (one standard deviation below and above the mean –
430 bounding the result). Taking these values into the equation for vehicle trip generation in Table 4
431 $(1.225 - 0.00003 * 2000 + 0.206 * 2 + 0.146 * 1 + 0.002 * 60 - 0.006 * 75 \text{ or } 125)$, we compute
432 values of 1.393 and 1.093. After exponentiation, the predicted vehicle trip generation is 4.03
433 vehicle trips per day for the sprawling neighborhood and 2.98 vehicle trips per day for the
434 compact neighborhood. By contrast, the ITE trip generation rate per unit on a weekday for
435 townhouses and condos is 5.81. The difference is partly due to package delivery trips, garbage
436 collection trips, etc., but is also due to the unique characteristics of the development, including
437 the compactness of the neighborhood in which the development is located. We would compare
438 the computed vehicle trip generation rate to the average for the entire sample from Table 2 (3.21
439 vehicle trips in this case), and adjust the ITE rate accordingly.

440 **Study Limitations**

441 We acknowledge a few limitations of this study. First, it may be difficult for local planners and
442 engineers to collect and process the built environment data required for use of our tables. Some
443 GIS data in section 3.2 might not be available at the local level or may require collaborations
444 among multiple agencies. Also, data processing requires GIS skills such as network analysis.
445 Most desirably, metropolitan planning organizations (MPOs) would collect, process, and publish

446 compactness metrics for subareas (traffic analysis zones perhaps) within their regions. We have
 447 done this for individual households in 21 regions, so it is doable.

448 Second, diverse impacts of built environment variables are glossed over by using a single
 449 measure of compactness to characterize the built environment. We acknowledge that the
 450 different D variables have different impacts on travel behavior and vehicle ownership. On the
 451 other hand, a single index has the advantage of simplicity when presenting rates for different
 452 housing types (see Tables 2 and 3). Planners can conveniently consult 3 x 3 tables to predict trip
 453 generation and parking demand for a new development project.

454 Third, relying on conventional household travel surveys, this study did not control for
 455 attitudinal variables or residential self-selection effects. Only three of regions included attitudinal
 456 variables in their survey. Residential self-selection occurs if the choice of residence depends in a
 457 significant way on preferences for owning automobiles or choosing one mode of transportation
 458 over another (36, 37). Such attitudes confound the relationship between the residential
 459 environment and travel choices or vehicle ownership. The benefits associated with compact
 460 urban development patterns – trip degeneration and car shedding in this study – may be
 461 overestimated or underestimated. The evidence is mixed (Ewing et al., 2016; Ewing and Cervero,
 462 2010; Stevens, 2017).

463 Fourth, while count regression models (negative binomial or Poisson regression) are
 464 commonly used in vehicle trip and parking generation studies, they treat car ownership as
 465 separate from vehicle trip generation when the two are actually linked (34). Car ownership plays
 466 a mediating role in the complex relationship between the built environment and travel
 467 behavior(38). Using structural equation models, future research might be able to measure both
 468 the direct effect of built environment on travel behavior and the indirect effect via car ownership.

469 Lastly, household travel surveys may not be the most accurate source of vehicle trip
 470 generation estimates for residential developments. There are significant differences between our
 471 results and ITE trip generation rates due presumably to under-reporting of trips in household
 472 diary surveys plus delivery and visitor traffic not captured in household travel surveys. These
 473 create systematic downward bias that needs to be corrected in trip generation analysis.

474 Still, we believe that our results have the potential to improve ITE trip generation and
 475 parking generation estimates by explicitly accounting for trip degeneration and car shedding in
 476 compact, mixed-use urban environments (as compared to ITE's sprawling, single-use suburban
 477 environments). They should not be viewed so much as a substitute for ITE rates but rather as a
 478 supplement to ITE rates that can be used by professional planners and engineers in project-
 479 specific trip and parking generation analyses.

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