

# A Sampling Theory for Compact Sets in Euclidean Space

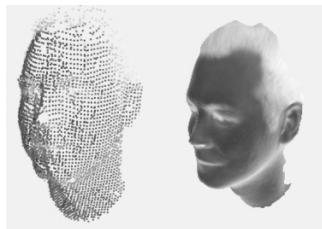
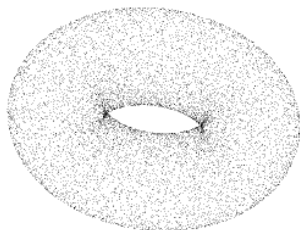
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# Motivation

We can create point clouds via sampling. An important question then concerns finding a condition which guarantees the original object can be reconstructed accurately.



## Definition

The **distance function**  $R_K$  of a compact set  $K$  of  $\mathbb{R}^n$  associates to each point  $x \in \mathbb{R}^n$  its distance to  $K$ ,

$$R_K(x) = \min_{y \in K} d(x, y)$$

Note that  $R_K$  completely characterizes  $K$  since  $K = \{x \in \mathbb{R}^n \mid R_K(x) = 0\}$ .

## Definition

For a positive number  $\alpha$ , define the  $\alpha$ -**offset** of  $K$ , denoted  $K^\alpha$  to be the set

$$K^\alpha = \{x \in \mathbb{R}^n \mid R_K(x) \leq \alpha\}$$

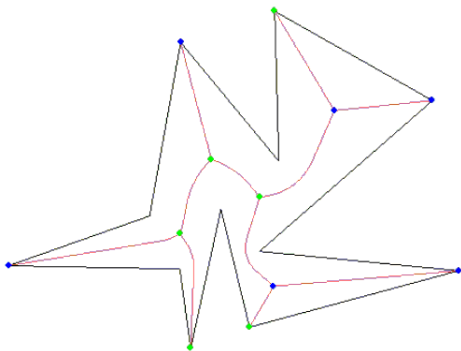
## Definition

The **Hausdorff distance**  $d_H(K, K')$  between two compact sets  $K, K' \subset \mathbb{R}^n$  is the minimum  $\alpha$  for which  $K \subset (K')^\alpha$  and  $K' \subset K^\alpha$ . Note that this is equivalent to

$$d_H(K, K') = \sup_{x \in \mathbb{R}^n} |R_K(x) - R_{K'}(x)|$$

## Definition

The **Medial Axis** of an compact set  $K$  is the set of all points having more than one closest point on the boundary of  $K$ .



# Gradient and Flow of Distance Functions

Given a compact set  $K$  of  $\mathbb{R}^n$ , its associated distance function  $R_K$  is not differentiable on the medial axis of  $\mathbb{R}^n \setminus K$ .

To overcome this issue, we seek a *Generalized Gradient* function  $\nabla_K : \mathbb{R}^n \rightarrow \mathbb{R}^n$  which agrees with the usual gradient of  $R_K$  at points where  $R_K$  is differentiable.

# Generalized Gradient Flow

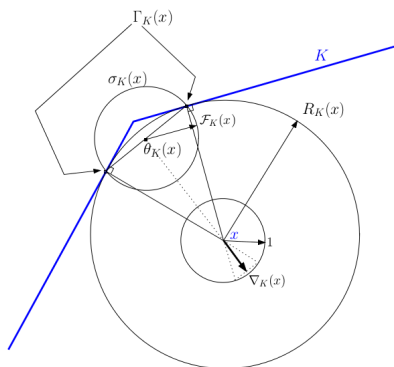
Flurry of definitions:

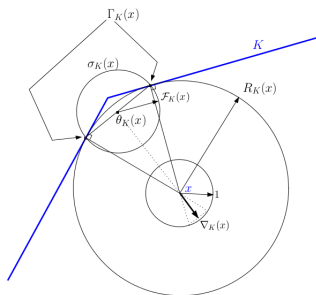
$\Gamma_K(x)$ : set of points in  $K$  closest to  $x$  (i.e.  $\{y \in K \mid d(x, y) = R_K(x)\}$ )

$\sigma_K(x)$ : unique smallest ball enclosing  $\Gamma_K(x)$ .

$\Theta_K(x)$ : center of  $\sigma_K(x)$ .

$\mathcal{F}_K(x)$ : radius of  $\sigma_K(x)$ .





## Definition

The **generalized gradient flow**  $\nabla_K$  of  $R_K$  is defined as

$$\nabla_K(x) = \frac{x - \Theta_K(x)}{R_K(x)}$$

We define  $\nabla_K(x) = 0$  for all  $x \in K$ . Note that  $\|\nabla_K(x)\| \leq 1$  for all  $x \in \mathbb{R}^n$ .



## A few technical points

Although  $\nabla_K$  is not continuous, it can be shown that Euler schemes using  $\nabla_K$  converge uniformly toward a continuous flow  $\mathcal{C} : \mathbb{R}^+ \times \mathbb{R}^n \rightarrow \mathbb{R}^n$ .

Parameterizing an integral line of this flow by arc length, we get a map  $s \mapsto \mathcal{C}(t(s), x)$  and so we can express the value of  $R_K$  at the point  $\mathcal{C}(t(\ell), x)$  by integration along the curve with length  $\ell$ ,

$$R_K(\mathcal{C}(t(\ell), x)) = R_K(x) + \int_0^\ell \|\nabla_K(\mathcal{C}(t(s), x))\| ds$$

It can be shown that  $\mathcal{F}_K$  and  $R_K$  increase along trajectories of the flow.

# Critical Points for Distance Functions

## Definition

A point  $x \in K$  is a **critical point** of  $R_K$  if  $\nabla_K(x) = 0$ .

The topology of  $K^\alpha$  are closely related to the critical *values* of  $R_K$ .

## Definition

The **weak feature size** of  $K$ , denoted  $\text{wfs}(K)$ , is the infimum of the positive critical values of  $R_K$ . Equivalently, it is the minimum distance between  $K$  and the set of critical points of  $R_K$ .

The next lemma shows  $\text{wfs}$  may be viewed as the “minimum size of the topological features” of the set  $K$ .

## Lemma

If  $0 < \alpha, \beta < \text{wfs}(K)$ , then  $K^\alpha$  and  $K^\beta$  are homeomorphic and even isotopic. The same holds for the complements of  $K^\alpha$  and  $K^\beta$ .

Isotopic: roughly speaking, two subspaces of  $\mathbb{R}^n$  are isotopic if they can be deformed one into each other without tearing or self-intersection.

## Theorem

Let  $K$  and  $K'$  be compact subsets of  $\mathbb{R}^n$  and  $\epsilon$  such that  $\text{wfs}(K) > 2\epsilon$ ,  $\text{wfs}(K') > 2\epsilon$ , and  $d_H(K, K') < \epsilon$ . Then

- (i)  $\mathbb{R}^n \setminus K$  and  $\mathbb{R}^n \setminus K'$  have the same homotopy type.
- (ii) If  $0 < \alpha \leq 2\epsilon$  then  $K^\alpha$  and  $(K')^\alpha$  have the same homotopy type.

We can generalize the notion of critical point.

### Definition

A  $\mu$ -**critical point** of the compact set  $K$  is a point  $x$  such that  $\|\nabla_K(x)\| \leq \mu$ .

$\mu$  critical points exhibit some stability.

### Critical Point Stability Theorem

Let  $K$  and  $K'$  be two compact subsets of  $\mathbb{R}^n$  and  $d_H(K, K') \leq \epsilon$ . For any  $\mu$ -critical point  $x$  of  $K$ , there is a  $(2\sqrt{\epsilon/R_K(x)} + \mu)$ -critical point of  $K'$  a distance of at most  $2\sqrt{\epsilon R_K(x)}$  from  $x$ .

## Definition

Given a compact set  $K \subset \mathbb{R}^n$ , its critical function  $\chi_K : (0, +\infty) \rightarrow \mathbb{R}_+$  is given by

$$\chi_K(d) = \min_{x \in R_K^{-1}(d)} \|\nabla_K(x)\|$$

Note that  $R_K^{-1}(d)$  are all the points which are a distance  $d$  from  $K$  and so  $\chi_K(d)$  is the minimum norm of the gradient at these points.

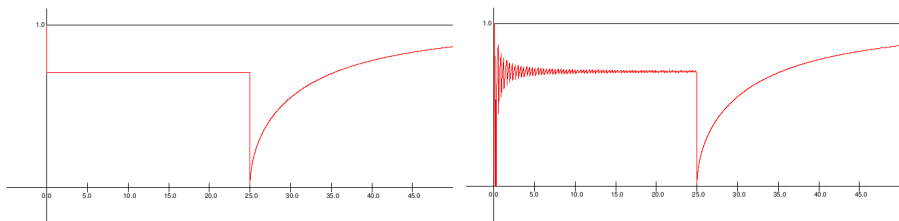
Like  $\mu$ -critical points, the critical function also has stability.

## Critical Function Stability Theorem

Let  $K$  and  $K'$  be two compact subsets of  $\mathbb{R}^n$  and  $d_H(K, K') \leq \epsilon$ . For all  $d \geq 0$ , we have:

$$\inf\{\chi_{K'}(u) \mid u \in I(d, \epsilon)\} \leq \chi_K(d) + 2\sqrt{\frac{\epsilon}{d}}$$

where  $I(d, \epsilon) = [d - \epsilon, d + 2\chi_K(d)\sqrt{\epsilon d} + 3\epsilon]$ .



Critical function of a square with side length 50 in  $\mathbb{R}^3$  (left) and the critical function of a sampling of the square (right).

## Definition

The  $\mu$ -reach  $r_\mu(K)$  of a compact set  $K \subset \mathbb{R}^n$  is defined as

$$r_\mu(K) = \inf\{d \mid \chi_K(d) < \mu\}$$

and one last definition...

## Definition

Given two non-negative real numbers  $\kappa$  and  $\mu$ , we say that a compact set  $K \subset \mathbb{R}^n$  is a  $(\kappa, \mu)$ -**approximation** of a compact set  $K' \subset \mathbb{R}^n$  if the Hausdorff distance between  $K$  and  $K'$  does not exceed  $\kappa$  times the  $\mu$ -reach of  $K'$ .

## Reconstruction Theorem

Let  $K \subset \mathbb{R}^n$  be a  $(\kappa, \mu)$ -approximation of a compact set  $K'$ . Let  $\alpha$  be such that

$$\frac{4d_H(K, K')}{\mu^2} \leq \alpha < r_\mu(K') - 3d_H(K, K')$$

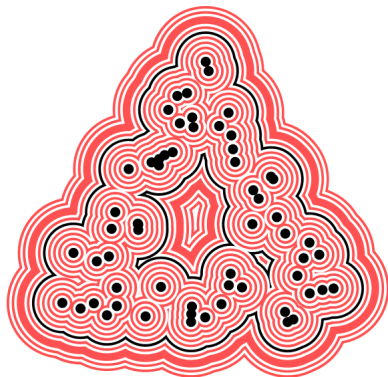
If

$$\kappa < \frac{\mu^2}{5\mu^2 + 12}$$

then the complement of  $K^\alpha$  is homotopy equivalent to the complement of  $K'$ , and  $K^\alpha$  is homotopy equivalent to  $(K')^\eta$  for sufficiently small  $\eta$ .



## Example



The distance function to a sampling of an equilateral triangle. If the offset parameter is appropriately chosen, then the offset of the sampling (this boundary is shown in bold), is homotopy equivalent to the triangle.

# Future Work

- Do the sampling conditions allow for the recovery of differential information?
- This approach assumes the magnitude of the perturbation is uniform over the object, since we use Hausdorff distance. Can the ideas be generalized to design a non-uniform sampling theory?
- What if the ambient metric space is non-Euclidean?

# References



F. Chazal, D. Cohen-Steiner, A. Lieutier (2006)

A Sampling Theory for Compact Sets in Euclidean Space

*Proceedings of the Symposium on Computational Geometry*