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EDUCATION

The Ohio State University, Fisher College of Business, Columbus, OH.
Ph.D. in Finance (Minor: Microeconomics), August 2002

Carnegie Mellon University, Graduate School of Industrial Administration, Pittsburgh, PA.
M.S. in Computational Finance, May 1997

University of Rochester, Simon Graduate School of Business Administration, Rochester, NY.
M.B.A. in Finance and Corporate Accounting, June 1996

National Chiao Tung University, College of Management, Hsinchu, Taiwan.
B.S. in Management Science, June 1991

DISSERTATION

Topic: “Merger Arbitrage: Profits, Holdings, and Impact on Takeovers”

Committee: Ralph Walkling (Chair), Jean Helwege, Karen Wruck

RESEARCH INTEREST

Restructuring Policy, Payout Policy, Informed Trading, Short Sales, Analyst Forecasts and Recommendations

PUBLICATIONS

“Determinants and Implications of Arbitrage Holdings in Acquisitions,” with Ralph Walkling, *Journal of Financial Economics* 77, September 2005.

* Winner of the Best Paper Award in Corporate Finance, Financial Management Association, 2003.

“The History and Performance of Concept Stocks,” with Ralph Walkling, *Journal of Banking and Finance* 30, September 2006.

“Insiders Tax Preferences and Firms Choice between Dividends and Share Repurchases,” with Qinghai Wang, *Journal of Financial and Quantitative Analysis* 43, March 2008.

* Winner of the Best Paper Award in Corporate Finance, Midwest Finance Association, 2005.

“Informed Trading Before Analyst Downgrades: Evidence from Short Sellers,” with Stephen Christophe and Michael Ferri, *Journal of Financial Economics* 95, January 2010.

* Winner of the Best Paper Award in Investments, FMA European Meetings, 2008.

“A Theory of Merger-Driven IPOs,” with Evgeny Lyandres and Alexei Zhdanov, *Journal of Financial and Quantitative Analysis* 46, October 2011.

“Short Selling and Cross-Section of Corporate Bond Returns,” with Steve Christophe, Mike Ferri, and Tao-Hsien Dolly King, *Journal of Fixed Income* 26, Fall 2016.

* Winner of the Outstanding Paper Award, 2012 NTU International Conference on Finance

BOOK CHAPTERS AND OTHER PUBLICATIONS

“Stock Repurchases: Theory and Evidence,” with Qinghai Wang, *Kolb Series in Finance: Dividends and Dividend Policy*, edited by H. Kent Baker, 2009.

WORKING PAPERS AND WORK IN PROGRESS

“More than Activism: Heterogeneity of Block Share Acquisitions and Security Prices,” with Tao-Hsien Dolly King, *R&R 2nd round, JFQA*

“How Informative are Analyst Recommendations and Insider Trades?” with Lilian Ng and Qinghai Wang

“Fundamentals Uncertainty and the Informativeness of Corporate Earnings Announcements,” with Christo Pirinsky and Qinghai Wang

“Shareholder Voting Rights in Mergers and Acquisitions,” with Qinghai Wang

“Shareholder Voting Rights and Mutual Fund Trading in Takeovers,” with Qinghai Wang

* Winner of the Outstanding Paper Award, 2008 NTU International Conference on Finance

ACADEMIC APPOINTMENTS

George Mason University

Associate Professor of Finance (with tenure), 2008 – Present

Assistant Professor of Finance, 2002 – 2008

Courses:

(Undergraduate) Financial Management, Corporate Finance, Principles of Investment, Investment Analysis and Portfolio Management

(MBA) Managerial Finance, Investment Analysis

The Ohio State University

Instructor of Finance, 2000 – 2001

Courses: (Undergraduate) Corporate Finance

HONORS AND AWARDS

School of Business Summer Research Award, 2010 – 2015
School of Business Excellence in Service Award, 2012
Outstanding Paper Award, NTU International Conference on Finance, 2008, 2012
Best Paper Award in Investments, Financial Management Association European Meetings, 2008
University Summer Research Award, 2007
FMA Superior Faculty Advisor Award, Financial Management Association, 2005
Best Paper Award in Corporate Finance, Midwest Finance Association, 2005
Best Paper Award in Corporate Finance, Financial Management Association, 2003
CIBER International Research Award, The Ohio State University, 2002
PEGS Fellowship, The Ohio State University, Summer 2000
Distinguished Graduate Fellowship, The Ohio State University, 1997
Academic Assistantship, University of Rochester, 1996
Academic Achievement Award, National Chiao Tung University, 1991
The University Fellowship, National Chiao Tung University, 1990

PROFESSIONAL ACTIVITIES AND PRESENTATIONS

Referee

Journal of Finance, Journal of Financial Economics, Journal of Financial and Quantitative Analysis, The Accounting Review, European Journal of Finance, Journal of Banking and Finance, Journal of Corporate Finance, Financial Management, Financial Review, Journal of Empirical Finance, Journal of Futures Market, Journal of Financial Research

Membership

American Finance Association, Western Finance Association, Financial Management Association

Seminars

2013: Federal Reserve Board
2011: Securities and Exchange Commission
2010: National Chiao Tung University
2008: American University, Securities and Exchange Commission
2006: George Mason University
2005: American University
2004: Dartmouth College (Conference for Contemporary Corporate Finance), George Mason University
2002: Drexel University, George Mason University, Southern Illinois University, University of Wisconsin-Milwaukee
2001: University of Manitoba, Ohio State University, Queens University (Canada)

Conference Participation

Program Committee:

Financial Management Association Annual Meetings, 2004, 2005, 2007, 2009, 2010
Financial Management Association Best Paper Awards Committee, 2007

Midwest Finance Association Annual Meetings, 2013

Presenter:

American Finance Association Annual Meetings, 2006, 2009, 2011
Western Finance Association Annual Meetings, 2008
Financial Management Association Annual Meetings, 2003 - 2011, 2013
Financial Management Association Asian Conference, 2013
European Financial Management Association Annual Conference, 2008, 2013
Financial Management Association European Annual Meetings, 2008
Southern Finance Association Annual Meetings, 2013
NTU International Conference on Finance, 2008, 2010, 2012
Washington Area Finance Association Meetings, 2004
French Finance Association Meetings, 2003

Discussant:

Mid-Atlantic Research Conference in Finance (MARC), 2013
Financial Management Association Meetings, 1999, 2000, 2002, 2003, 2007
Financial Management Association Asian Conference, 2013

Session Chair:

Financial Management Association Meetings, 2003
Financial Management Association Asian Conference, 2013

School Service

Graduate Policy Committee, 2013 – 2015
University Academic Program Review Committee, 2013 – 2016
Faculty P&T Committee, 2009 - 2013, 2015 – Present
Undergraduate Policy Committee, 2007 - 2009
Graduate Admission Committee, 2006 - 2008
Faculty Advisor to FMA GMU Student Chapter, 2003 - 2008
Recruiting Committee, 2004 - 2006, 2010 - 2012
Academic Seminar Series, 2003 - 2004, 2011 - 2012

MEDIA EXPOSURE

“Tipping The Shorts,” Forbes, June 2008
“The Insiders,” by Nicole Bullock, SmartMoney, May 2006
“The Analysts vs. the Insiders,” New York Times, September 25, 2005
Googles insider trading, Bloomberg News, October, 2005
“Follow the Leaders,” by Paul Sturm, Smart Money, January, 2006